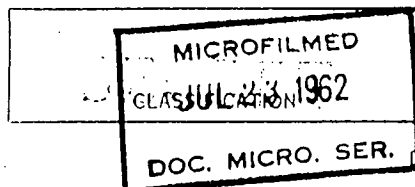


VIA: AIR
(SPECIFY AIR OR SEA ROUTE)

DISPATCH NO. EGFA-14536



4P

TO : Chief, EE

FROM : Chief of Base, Frankfurt

INFO: COS
POB

SUBJECT: GENERAL— Operational/UPSWING/CART/LCIMPROVE

SPECIFIC— CARETINA

DECLASSIFIED AND RELEASED BY
CENTRAL INTELLIGENCE AGENCY
SOURCES/METHODS EXEMPTION 3B2B
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2005

Reference: EGFA-13185 *up - CARETINA*

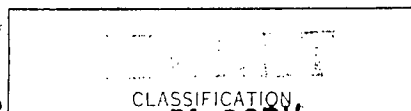
1. The following summarizes [] relations with CARETINA since the last-reported meeting on 19 October 1956 and discusses other aspects of the case.

2. CARETINA remained in the hospital convalescing from his coronary attack until 21 December 1956, when he was released in time to go home for Christmas. During this period [] visited him at the hospital on 6, 14, 23, and 30 November, and on 14 December, usually bringing small gifts of fruit juice, books, candy, and, once, a small battery-operated radio. All these visits were brief, none lasting more than thirty minutes, and almost no operationally significant conversation was possible in the invariable presence at close quarters of two or three other convalescent patients. These meetings served, however, to maintain and deepen the relationship and, [] feels certain, to insure that CARETINA will turn to him if he ever wishes for any reason to re-establish contact with ODYOKE.

3. On 20 December, [] called CARETINA by telephone to renew a previous invitation to CARETINA to spend the weekend at [] in preparation for his journey to his home. CARETINA, however, had decided that it would be less taxing to go directly to his own home escorted by his son, and declined. [] expressed the hope that he might be able to take leave early in January and that he would in that case plan to pass through CARETINA's home town for a short visit and to deliver in person a belated Christmas gift which was difficult to ship. (This was in reference to an intended gift of wine, in place of the cognac which CARETINA is very fond of but is no longer permitted to drink.) CARETINA expressed appreciation but cautioned [] that he expected to go to the mountains to take a cure in early January and that the visit should therefore be coordinated beforehand.

4. Excepting an exchange of Christmas cards, there was no further contact until 20 January 1957, when CARETINA called [] by long-distance telephone to inquire whether he could still look forward to the above visit. He went on to say that he was much weaker than he had

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expected to be, that he was allowed to be on his feet only two hours a day, and that he had postponed his cure until at least the middle of February. He was obviously disheartened by his failure to rally more strongly from his illness, and his status and prospects of permanent employment by UPSWING may well have deteriorated. Also, his monthly returnee bonus payments terminate, [] believes, at the end of January. [] received the impression that the telephone call, when a letter would have served as well, might, in these circumstances, reflect a re-awakening interest in KUBARK and concluded that it was time in any case for an intimate talk with him. He, therefore, promised to get to CARETINA's home town, if only for an evening, within the next three weeks or so.

5. On 22 January, [] wrote CARETINA to say that he still intended to take a few days leave and would plan to visit him, adding the suggestion, however, that CARETINA, who is living with his wife, mother, and three children in three small rooms, might like to pay him a restful visit for a few days away from his domestic cares. If accepted, this invitation would yield better opportunities for fruitful conversation, but CARETINA is apparently not very likely to fall in with it. Meanwhile, [] has sent him by express a gift of 12 bottles of domestic issue.

6. [] net impression of CARETINA's situation as of the date of his discharge from the hospital was that he did not seem to be seriously disturbed by what was on its face a precarious situation for a middle-aged invalid with four children and two adults to support, but instead looked optimistically to the future. He spoke of moving to Munich as soon as he could find quarters, noted that he would simply have to buy a small automobile in order to travel more efficiently and with less physical effort, and was looking forward to getting back to work by the beginning of March. The only cause for concern which he mentioned was that he was not sure what level of activity he would now be able to maintain, though he had previously expressed apprehension about the possibility that he might no longer be physically qualified for the full civil service status which is his chief goal. Although CARETINA's normal frame of mind is one of unbounded self-confidence, this was definitely not a case of whistling in the dark, and, unless [] was deceived by a euphoria produced by drugs, it should mean that CARETINA had some assurance that his employment in some capacity by UPSWING would continue, perhaps was continuing during his convalescence. Since his returnee's bonus could hardly support talk of buying an automobile even if it were not terminating, the only ready alternative possibility is his prospect of earning a substantial sum from the publication of articles on his experiences.

7. The status of his journalistic activities, however, is unclear. What is clear is that some sort of rift has occurred in relation between CARETINA and Identity-1, the collaborator in his journalistic undertaking whom [] suspected, even before receiving traces on his long history of intelligence activity, of being an UPSWING officer assigned to exploit and monitor CARETINA. [] has received only hints of this strain in their relations--complaints that Identity-1 was overeager and too demanding in insisting that CARETINA try to write even in the hospital, the caution that Identity-1 must not learn CARETINA's whereabouts while he is taking his cure, and the remark that CARETINA is much more likely to see [] in Duesseldorf, which CARETINA may well visit "berufllich" once he resumes work, than he is to see Identity-1 in Bonn. As yet [] can only speculate on what is behind all this. There has been in any case no further talk of selling magazine articles in the past two months.

8. [] own contact with Identity-1 and his wife has been minimal since the last reported contact. [] saw the wife briefly on 27 October while delivering to her some fruit juice to be passed on to CARETINA and spoke once with Identity-1 by telephone on 1 November and again with his wife on 14 November, both times about calling on CARETINA, whom [] should not call directly. Although cordial enough in a rather formal way, Identity-1 and his wife did not seem to invite any closer acquaintance. Whether this was a reflection of strain in their relations with CARETINA, a desire to avoid [] or simply a lack of interest in knowing [] better, it seemed best to allow any further contact to develop naturally out of the contact with CARETINA. So far, none has.

9. Headquarters is aware that UPSWING submitted to POB a request for information on [] late last summer which was followed by a question about [] which had been struck out on a list of requests submitted in November. POB inferred from this that UPSWING may have identified [] tentatively as a KUBARK officer. Since [] has made no oral blunders in dealing with CARETINA or with Identity-1 and his wife, and since CARETINA's manner and attitude toward him has not reflected any such awareness, so far as he can tell, [] has nothing to offer to illuminate this incident, except the possibility reported previously that UPSWING might conceivably have old traces from [] presence at Identity-2 in 1945 and 1946. It can be said with confidence, however, that UPSWING will certainly assume that [] is a KUBARK officer if he comes even once more to their attention in an intelligence context.

10. Although [] who is only superficially acquainted with the details of CARETINA's past intelligence activity, does not believe

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that CARETINA is wittingly involved in a long-term Soviet effort to establish a high-level penetration of UPSWING, he has acted from the beginning on the assumption that this might be the case. In view of [] opinion that this is overwhelmingly probable, (and that he is therefore of even greater interest than otherwise), [] will be even more meticulous in his future dealings with the man.

APPROVED: []

Enclosure: Attachment A (Iden. List)

SEPARATE COVER

Distribution:

3 -- EE, w/att DIRECT
2 -- OOS, w/att
2 -- POB, w/att

SEPARATE CO ATTACHMENT A TO
EGFA-14536

SECRET

IDENTITY LIST

Identity-1 -- (Dr.) Waldemar Lentz

Identity-2 -- Camp King, Oberursel

MICROFILMED

JUL 23 1962

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